



Gwasanaeth Democraidd
Democratic Service
Swyddfa'r Cyngor
CAERNARFON
Gwynedd
LL55 1SH

Cyfarfod / Meeting

PWYLLGOR ARCHWILIO
AUDIT COMMITTEE

Dyddiad ac Amser / Date and Time

10.30am DYDD IAU, 22 TACHWEDD 2012

10.30am THURSDAY, 22 NOVEMBER 2012

Lleoliad / Location

SIAMBR ARFON/CHAMBER,
SWYDDFEYDD Y CYNGOR/COUNCIL OFFICES,
PENRALLT,
CAERNARFON

Pwynt Cyswllt / Contact Point

GWYN PARRY WILLIAMS

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Dosbarthwyd/Distributed: 14-11-12

**PWYLLGOR ARCHWILIO
AUDIT COMMITTEE**

AELODAETH/MEMBERSHIP (19)

Plaid Cymru (9)

Y Cynghorwyr/Councillors

Edward Dogan
Chris Hughes
Dilwyn Morgan

Huw Edwards
Charles W Jones
Michael Sol Owen

Aled Ll. Evans
Dyfrig Jones
Gethin G. Williams

Annibynnol/Independent (4)

Y Cynghorwyr/Councillors

Trevor Edwards

Tom Ellis

John Pughe Roberts

Angela Russell

Llais Gwynedd (4)

Y Cynghorwyr/Councillors

Anwen Davies

John Brynmor Hughes Aeron M. Jones

R.J. Wright

Llafur/Labour (1)

Y Cynghorydd/Councillor

Sion W. Jones

Aelod Lleyg/Lay Member

Mr John Pollard

Aelod Ex-officio/Ex-officio Member

Cadeirydd y Cyngor /Council Chairman

RHAGLEN

1. YMDDIHEURIADAU

Derbyn unrhyw ymddiheuriadau am absenoldeb.

2. DATGAN BUDDIANT PERSONOL

Derbyn unrhyw ddatganiad o fuddiant personol

3. MATERION BRYD

Ystyried unrhyw fater arall sydd yn teilyngu sylw bryd ym marn y Cadeirydd.

4. COFNODION

Bydd y Cadeirydd yn cynnig y dylid llofnodi cofnodion cyfarfod diwethaf o'r pwyllgor hwn a gynhaliwyd ar 27 Medi 2012, fel rhai cywir.

(copi yma- papur **gwyn**)

5. YMATEB YR ADRAN ADNODDAU DYNOL I ARGYMHELLION ARCHWILIO MEWNOL – RECRIWTIO – PROFION HUNANIAETH

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg
(copi yma – papur **aur**)

6. YMATEB YR ADRAN RHEOLEIDDO I ARGYMHELLION ARCHWILIO MEWNOL – UNED TRWYDDEDU

Cyflwyno adroddiad Pennaeth Adran Rheoleiddio (copi yma – papur **llwyd**)

7. CYNNYRCH YR ADRAN ARCHWILIO MEWNOL

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg yn amlinellu gwaith yr Adain Archwilio Mewnol am y cyfnod rhwng 1 Awst a 30 Medi 2012

(copi yma – papur **glas**)

8. CYLLIDEB REFENIW 2012/13 – ADOLYGIAD AIL CHWARTER (MEDI 2012)

Cyflwyno adroddiad y Pennaeth Cyllid (copi yma – papur **melyn**)

9. RHAGLEN GYFALAF 2012/13 – ADOLYGIAD DIWEDD YR AIL CHWARTER (MEDI 2012)

Cyflwyno adroddiad y Pennaeth Cyllid
(copi yma – papur **aur**)

**10. RHEOLAETH TRYSORLYS 2012/13 – ADOLYGIAD CANOL
BLWYDDYN**

Cyflwyno, er gwybodaeth, adroddiad y Pennaeth Cyllid ar wir weithgarwch benthyg a buddsoddi'r Cyngor hyd yma yn y flwyddyn ariannol gyfredol (copi yma – papur **pinc**)

11. DATGANIAD STRATEGAETH RHEOLAETH TRYSORLYS 2012/13

Atgoffa'r aelodau o'r hyfforddiant gan Gwmni Arlingclose ar y mater uchod am 2.00 o'r gloch ar 29 Ionawr 2013, yn Ystafell Glyder Fawr, Swyddfeydd y Cyngor, Penrallt, Caernarfon.

12. CYNLLUN ARCHWILIO MEWNOL 2012/13

Cyflwyno adroddiad yr Uwch Reolwr Archwilio a Risg ar y cynnydd ar Gynllun Archwilio Mewnol 2012/13 (copi yma – papur **gwyrdd**)

AGENDA

1. APOLOGIES

To receive apologies for absence.

2. DECLARATION OF PERSONAL INTEREST

To receive any declaration of personal interest.

3. URGENT BUSINESS

To note any items that are a matter of urgency in the view of the Chairman for consideration.

4. MINUTES

The Chairman shall propose that the minutes of the last meeting of this committee, held on 27 September 2012, be signed as a true record.
(copy herewith - **white** paper)

5. THE HUMAN RESOURCES DEPARTMENT'S RESPONSE TO THE RECOMMENDATIONS OF INTERNAL AUDIT – RECRUITMENT – IDENTITY CHECKS

To submit the report of the Senior Audit and Risk Manager (copy herewith – **gold** paper)

6. THE REGULATORY DEPARTMENT'S RESPONSE TO THE RECOMMENDATIONS OF INTERNAL AUDIT – LICENCING UNIT

To submit the report of the Head of Regulatory Department (copy herewith – **grey** paper)

7. OUTPUT OF THE INTERNAL AUDIT SECTION

To submit the report of the Senior Audit and Risk Manager outlining the work of the Internal Audit Section for the period between 1 August and 30 September 2012 (copy herewith – **blue** paper)

8. 2012/13 REVENUE BUDGET – SECOND QUARTER REVIEW (SEPTEMBER 2012)

To submit the report of the Head of Finance (copy herewith – **yellow** paper)

9. CAPITAL PROGRAMME 2012/13 – END OF SECOND QUARTER REVIEW (SEPTEMBER 2012)

To submit the report of the Head of Finance
(copy herewith – **gold** paper)

10. TREASURY MANAGEMENT 2012/13 – MID-YEAR REVIEW

To submit, for information, the report of the Head of Finance on the Council's actual borrowing and investment during the current financial year (copy herewith – **pink** paper)

11. TREASURY MANAGEMENT STRATEGY STATEMENT 2012/13

To remind the members of the training by Arlingclose Company on the above matter at 2.00pm on 29 January 2013, in Ystafell Glyder Fawr, Council Offices, Penrallt, Caernarfon.

12. INTERNAL AUDIT PLAN 2012/13

To submit the report of the Senior Audit and Risk Manager on the progress of the Internal Audit Plan 2012/13 (copy herewith – **green** paper)